

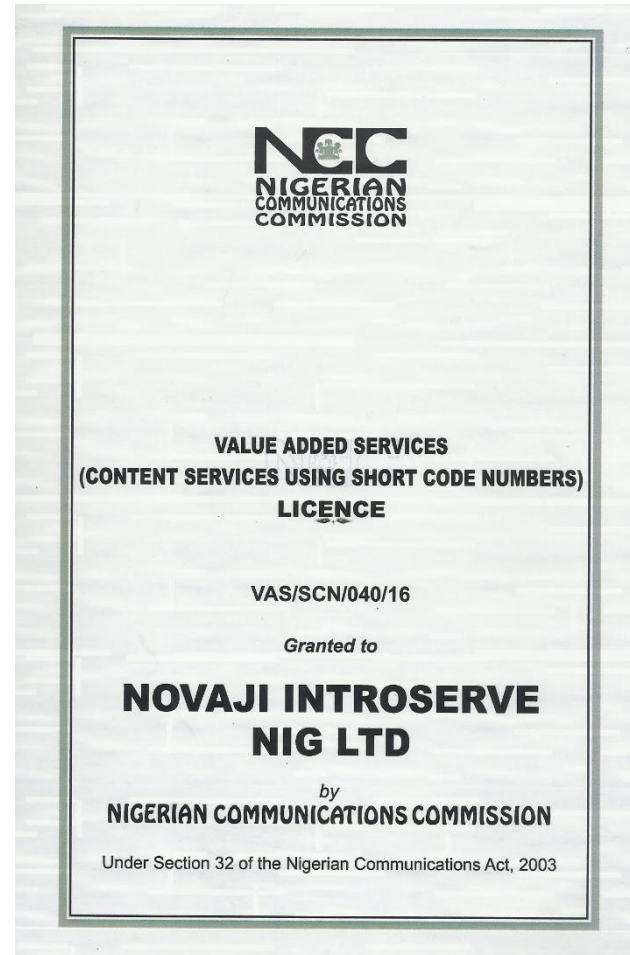
The iComply logo is displayed in red text within a white circular area. The background of the slide features a low-angle shot of modern skyscrapers with glass facades, reflecting the sky and each other, creating a sense of height and urban density.

# iComply

Financial Crime Risk Assessment Due Diligence /  
Know-your-Customer (KYC)  
Identity Verification  
Enhanced Due Diligence  
Alert Monitoring  
Transaction Monitoring  
Case Management  
Regulatory Reporting  
Financial Intelligence  
Sanction Screening  
Adverse Media Screening

## About Novaji Introsolve Ltd.

- We are a technology and innovation company
- Licensed by the **Nigeria Communications Commission (NCC)** as a **value added service (VAS)** provider
- Registered with the **Nigeria Data Protection Commission (NDPC)** as a **Data Controller/Processor** of major importance
- Driven by a young, innovative and energetic team.
- Services and Solutions:
  - Mobile Financial Services
  - Enterprise Solutions
  - FINTECH Solutions
  - Integration
- Led by a Board of Directors with a over 2 decades combined experience in Management, Telecoms, Finance, Information Technology and Customer Relations Management.



## Background/Problem Scope

- One sure problem that keeps Bank CEOs, ECOs and CCOs awake is the rapid adoption of financial technology with its resultant effects including :
  - Frequent promulgation of laws, standards and regulatory directives to match the tech adoption speed
  - **Rapid increase in volume, velocity and complexity of transactions**
  - Frequent emergence of **new risk typologies** as criminals figure out ways to beat new systems
  - **Technology induced mobility of labour** that impresses upon management the need to constantly evolve and do more with less
  - **increase in regulatory and supervisory surveillance** with huge fines and penalties for breaches.
- Only a robust and agile governance, compliance and control tool that is professionally developed to suit both local and global standards can help banks to contain these challenges



Reporting Entity ID <sup>?</sup>	101,917	Period Start <sup>?</sup>	04/01/2024
Reporting Entity Branch <sup>?</sup>	Head Office	Period End <sup>?</sup>	04/30/2024
Submission Code <sup>?</sup>	Electronic	Submission Date <sup>?</sup>	07/21/2025
Report Code <sup>?</sup>	Suspicious Transaction Report	Reporting Currency <sup>?</sup>	Naira
Entity Reference <sup>?</sup>	2242512	Reporting Person <sup>?</sup>	Joseph
FIU Reference Number <sup>?</sup>	242453609	Location <sup>?</sup>	23 Ol

Reason <sup>?</sup> Monthly report

Action <sup>?</sup> Conduct risk assessment

Indicators

Generated XML

Validation

XML Filename <sup>?</sup> FIU\_Report\_STR April 2024\_20250723\_164955.xml

XML File <sup>?</sup> FIU\_Report\_STR April 2024\_20250723\_164955.xml

```
1 <?xml version='1.0' encoding='utf-8'?>
2 <report>
3   <report_id>101917</report_id>
4   <report_branch>Head Office</report_branch>
5   <report_code>E</report_code>
6   <report_code>STR</report_code>
7   <entity_reference>2242512</entity_reference>
8   <fiu_ref_number>242453609</fiu_ref_number>
```

## What is iComply AML?

iComply is a Comprehensive fully-featured Automated Anti-Money Laundering (AML) Solution compliant with regulatory baseline standards

## Taking a risk-based approach to stay compliant with iComply

- Developed based on Recommendation 1 of Financial Action Task Force (FATF) 40
- **Compliant with CBN** and FATF 40 recommendations
- A framework for **Continuous Improvements**
- **Integration** with existing Financial Systems
- Leverage AI and ML **to enhance detection accuracy** and reduce false positives
- Wolfsberg Guidance on risk-based approach for AML
- Basel Committee Consultative Document on customer due diligence for banks.
- ISO 37301 – Compliance Management System
- Wolfsberg Guidance on Sanctions Screening

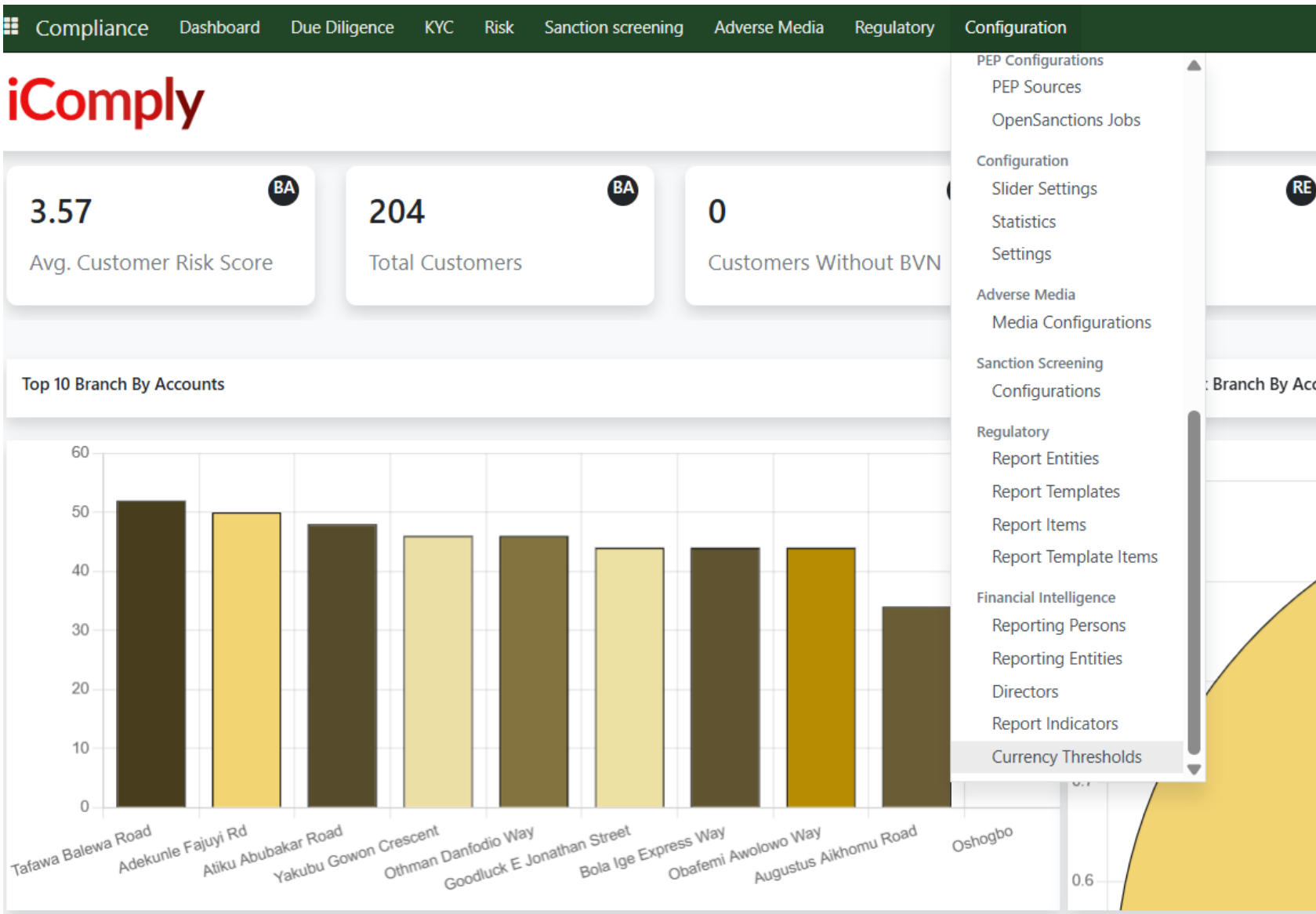
## How does iComply align with Regulatory Requirements?

- By Aligning System Design with the Core Objectives



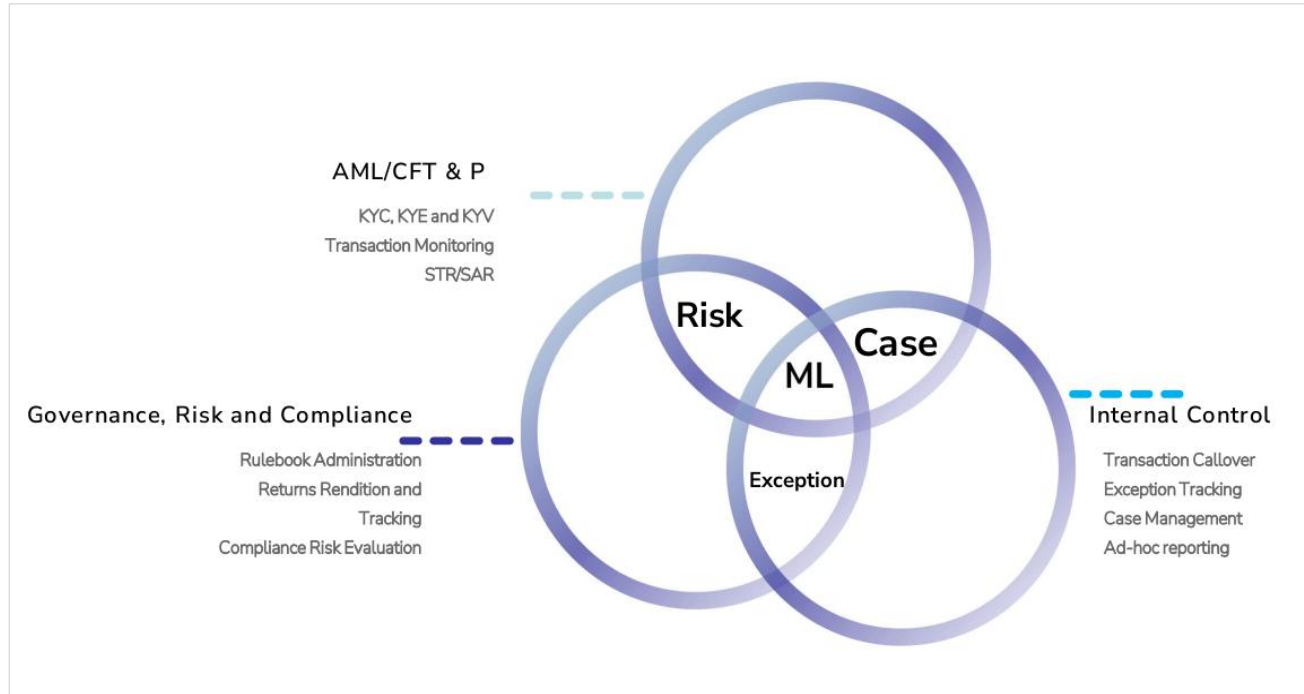


# Core Functionality Aligns With Regulatory Requirements



- Integrates with Banks Core Banking Application
- Risk Based Transactions Monitory and Analysis
- Automated Know-your-customer (KYC) and Due Diligence processing
- Sanction Screening and Watchlist Compliance
- Regulatory Reporting
- Case Management
- Data Security and Protection
- System Scalability
- Comprehensive Risk Assessment

# iComply - The Value Proposition



- Identify the risk subjects of financial operations
- Monitor effortlessly and intelligently
- Manage exceptions pro-actively
- Measure compliance levels in real-time
- Provide report as at when needed



# Modular, Integrated Solution



## Case Manager

- Case management
- Alert manager
- Exception processes
- Automated alerts
- Dashboard Summaries

## Internal Control

- Policies & Procedures
- Systems & Inventory
- Transaction Review
- Reports
- Internal reviews
- Transaction Monitoring
- Fraud detection
- Automated Alerts
- Statistical Insights
- Processes
- Alert Groups
- Control Officers

## Compliance

- Rulebook
- Financial Crime Risk Assessment (FCRA)
- Risk Assessment
- Due Diligence (CDD)
- Enhanced Due Diligence (EDD)
- Know your customer (KYC)
- Know your employee (KYE)
- Know your Vendor (KYV)
- Regulatory Reporting
- Forex (FX), SWIFT
- NFIU Reporting
- Sanction Screening
- Alternate Media
- Training

## Internal Audit

- Audit Universe
- Audit Plan
- Audit Scope
- Audit Processes/testing
- Risk Management
- Reports

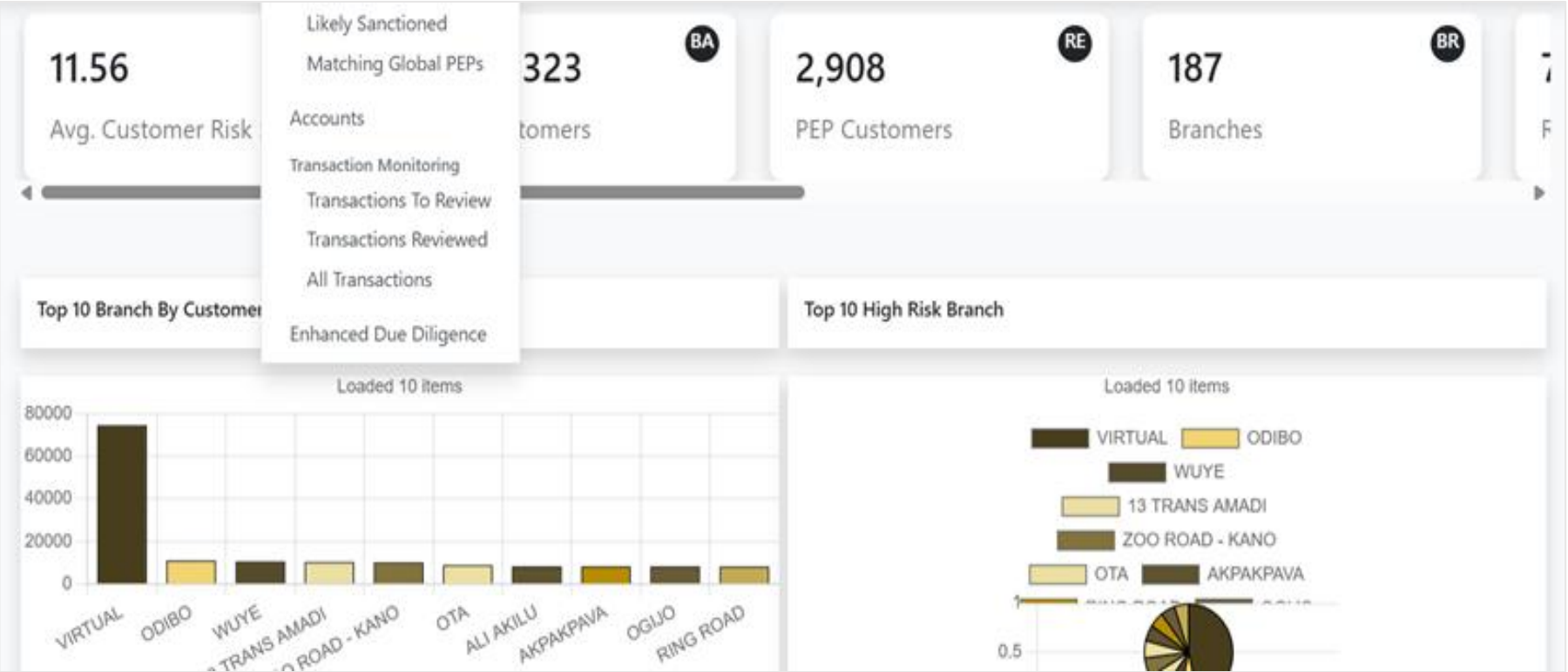




## Know your Customer (KYC) and Due Diligence

- Customer Address Verification
- **API Integration for Identity Verification**
- Continuous Update of Customer Information
  - Email, Phone Number, Address, BVN, SIN, Social Security Number
- Exception handling: Eg ; Alert on customers without Email ,Phone, Expired Means if ID
- **Automated Customer Risk Profiling**
- Sanctions List
  - PEP
  - Blacklist
  - Watchlist
  - Grey List
- Adverse Media Screening

# Statistical Dashboard With Key Performance Indicators



- **Get Overall Risk Position At a Glance – And In Real Time**
- Monitor Performance In Real Time
- Get Key Performance Indicators For Management Reporting
- Alert Statistics
- Employee Statistics
- KYC Statistics

## Customer Onboarding

Customers										
<div> <div>Branch x</div> <div>Search...</div> </div> <div> <div>Filters</div> <div>Group By</div> <div>Favourites</div> <div>1-80 / 142</div> </div>										
<input type="checkbox"/>	Name	Customer ID	Firstname	Lastname	Risk Score	Risk Level	Phone Number(s)	Email	Ci...	Country
<input type="checkbox"/>	AWOLOWO ROAD ( IKY 2) (1882)				14.60					
<input checked="" type="checkbox"/>	AWOLOWO ROAD ( IKY 2) (1882)				14.47					
<input type="checkbox"/>	(JOSEPH) E...	2041639			25.00	high	0909090909			
<input type="checkbox"/>	A...	297547		.O	25.00	high	0909090909			
<input type="checkbox"/>	10...	831349			25.00	high	0909090909			
<input type="checkbox"/>	30...	151921			8.00	low	0909090909			
<input type="checkbox"/>	30...	198392			25.00	high				
<input type="checkbox"/>	A...	154227			8.00	low	0909090909			
<input type="checkbox"/>	A...	97237			8.00	low	0909090909			
<input type="checkbox"/>	A...	110753			25.00	high	0909090909			
<input type="checkbox"/>	A...	2023400			25.00	high				
<input type="checkbox"/>	A...	749301			8.00	low	0909090909			

- **Run Risk Assessment At Customer Onboarding**
- Assign Risk Score
- Set Customer Risk Profile
- Trigger Email Alerts for Compliance Team Review
- Recommend Enhanced Due Diligence

## Customer Due Diligence

Customers / [REDACTED]

Action

3 / 80

New

INITIATE EDD

ADD TO PEP LIST

ADD TO WATCHLIST

ADD TO GREYLIST

CONDUCT RISK ASSESSMENT

COMPUTE RISK SCORE

RUN MEDIA SCREENING

1 Accounts

Risk High

25.00 Risk Score

127 [REDACTED] ENTERPRISE 127 [REDACTED] US

WORKING [REDACTED]

Bank Risk Rating

MEDIUM

Street

[REDACTED]

Industry

Individual . Self Employed

Sector

GENERAL

Region

[REDACTED]

Registration Date

06/02/2014

Employment Status

[REDACTED]

Phone Number(s)

[REDACTED]

Email

[REDACTED]

Title

[REDACTED]

Internal Category

Customer

Personal Information

Accounts

Risk Plan

Risk Assessment

Sanction Screening

Enhanced Due Diligence

Internal Notes

- **Automated Customer Risk Profiling – At Onboarding**
- **RESTful API integration with Identity Verification providers**
- Detailed Customer Risk Assessment
- Automatically/Manually Compute Risk Rating
- Add to Sanctions List
- Run Adverse Medial Screening

# Rule-Based Risk Profiling

Bank Risk Rating	MEDIUM	Employment Status	
Street		Phone Number(s)	[REDACTED]
Industry	Individual . Self Employed	Email	
Sector	GENERAL	Title	
Region		Internal Category	Customer
Registration Date	06/02/2014		

Personal Information

Accounts

Risk Plan

Risk Assessment

Sanction Screening

Enhanced Due Diligence

Internal Notes

Plan Line	Risk Score
Customers without BVN	25.00
Default Risk Score	8.00
Customers With Invalid Names	0.00
Customers with Repeated Phone Number Up To 3 Accounts and Above	0.00
Customers without Phone	0.00
Customers on Blacklist	0.00
Customers on PEPlist	0.00
Customers on Watchlist	0.00

Customers on PEPlist

- Define Risk Rules
- Apply Rules At Customer Onboarding
- Capture Risk in Real time

# Screen Customers Against Sanctions List

Customers In Pep List

Branch x

Search...

Download

Filters

Group By

<input type="checkbox"/>	Name	Customer	Firstname	Lastn
▶	██████████ ROAD ( IKY 2) (3)			
▶	██████████ AMADI (9)			
▶	██████████ ABA ROAD (3)			
▶	██████████ ROAD (IKY 1) (5)			
▶	██████████ ADEMOLA (AA1) (6)			
▶	██████████ (2)			
▶	██████████ A (2)			
▶	ABAKALIKI (1)			
▶	ABAK RD - LIVO (6)			

- Automatically Screen Customers Matching Local and Global PEP List – At Onboarding
- Across All Branches/Locations



# Account Risk Profiling

Accounts

Branch × Search...

Filters

Group By

Favourites

1-80 / 142

<input type="checkbox"/>	Account Number	Account Name	Branch	Currency	Account Type	Account Position	Risk Score	Risk Rating	Status	Date Created
▶ 11 ██████████ (IKY 2) (17419)										
▼ ██████████ (79029) 1-80 / 79029 < >										
<input type="checkbox"/>	00006██████	██████████U...	13-TRANS AMADI	NGN	LIABILITY	Credit	8.00	low	Active	27/08/2024
<input type="checkbox"/>	0000██████9	██████████FORNE ...	13-TRANS AMADI	NGN	LIABILITY	Credit	8.00	low	Active	27/08/2024
<input type="checkbox"/>	0000██████00	██████████	██████████	USD	LIABILITY	Credit	8.00	low	Active	27/08/2024
<input type="checkbox"/>	00006██████6	██████████	13-TRANS AMADI	NGN	LIABILITY	Credit	8.00	low	Active	27/08/2024
<input type="checkbox"/>	00007██████	██████████	13-TRANS AMADI	NGN	LIABILITY	Credit	25.00	high	Active	27/08/2024
<input type="checkbox"/>	00007██████4	██████████	13-TRANS AMADI	NGN	LIABILITY	Debit	25.00	high	Inactive	27/08/2024
<input type="checkbox"/>	0000██████00	██████████	██████████	NGN	LIABILITY	Debit	8.00	low	Dormant	27/08/2024
<input type="checkbox"/>	0000██████150	██████████	13-TRANS AMADI	NGN	LIABILITY	Credit	25.00	high	Active	27/08/2024
<input type="checkbox"/>	0000██████	██████████IE...	13-TRANS AMADI	NGN	LIABILITY	Credit	8.00	low	Active	27/08/2024
<input type="checkbox"/>	0000██████	██████████S...	13-TRANS AMADI	NGN	LIABILITY	Credit	25.00	high	Active	27/08/2024

- Automated Risk Profiling of Customer Account
- Internal Account/GL Risk Profiling
- Apply Risk Rules by Account / By GL

# Transaction Monitoring

All Transactions / FT24088X1VDS

Action6 / 80<>

RUN TRANSACTION SCREENINGTO REVIEWDONE

Reference Number

FT24088X1VDS

Transaction Amount

-389,846.68

Transaction Details

Narration

Customer

[REDACTED]

Currency

NGN

Branch

[REDACTED]

Account

[REDACTED]

Exception Rule

Likely Fraud

☐

Transaction Type

Debit

Transaction Date

29/03/2024

- **Define Transaction Rules**
- **ML and AI capabilities**
- **Use Transactions Screening History**
- **Automatically or Manually Run Screening**
- **Identify Risk levels**
- **Transaction Review/Callover**

# Risk Assessment

Institutional Risk Assessment

NEW

FCRA Risk Assessment

Institutional

Counter Party

Search...

Filters

Group By

Favourites

1-49 / 49

<input type="checkbox"/>	Name	Risk Subject	Risk Universe	Category	Risk Type	Risk Rating	Default	Created by	Created on
<input type="checkbox"/>	Account Activity	Account Activity	Transaction Behavior	Institutional	Existing Product	5.20	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	Admin & Support Services	Admin And Support Service Act.	Customer Types	Institutional	Existing Product	0.11	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	Agriculture Sector	Agriculture	Customer Types	Institutional	Existing Product	0.11	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	Arts & Entertainment	Arts Entertainment And Recreation	Customer Types	Institutional	Existing Product	1.69	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	Automated Teller Machine	Automated Teller Machine	Delivery Channels	Institutional	Existing Product	0.11	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	Capital Market	Capital Market	Customer Types	Institutional	Existing Product	0.11	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	Cooperative Cluster	Cooperative Cluster	Customer Types	Institutional	Existing Product	0.11	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	Customer Complaints	Customer Complaints	Compliance History	Institutional	Existing Product	0.00	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	Customer Onboarding	Internet Banking Service	Products And Services	Institutional	Existing Product	0.11	<input checked="" type="checkbox"/>	NovajiBot	08/05/2025 07:12:52
<input type="checkbox"/>	Duplicate Entries	Duplicate Entries	Data Quality	Institutional	Existing Product	3.60	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	Education Sector Risk Assessment	Education	Customer Types	Institutional	Existing Product	0.11	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	Finance & Insurance	Finance And Insurance	Customer Types	Institutional	Existing Product	0.11	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	General Client	General	Customer Types	Institutional	Existing Product	0.11	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	General Commerce	General Commerce	Customer Types	Institutional	Existing Product	0.11	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	Ghana Jurisdiction	Ghana	Jurisdiction	Institutional	Existing Product	0.11	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20
<input type="checkbox"/>	Government Sector	Government	Customer Types	Institutional	Existing Product	0.11	<input type="checkbox"/>	NovajiBot	19/05/2025 12:57:20

- **Develop A Comprehensive Risk Management Framework**
- **Risk Definitions:**
  - **Risk Universe**
  - **Risk Subject**
  - **Risk Score**
  - **Risk Rating**
- **Use Pre-defined templates to simplify the Onboarding process**

# Financial Crime Risk Assessment

Institutional Risk Assessment / Account Activity

⚙️ Action

🔄 1 / 49

⏪ ⏩

New

COMPUTE RISK SCORE

★ 5.20  
Risk Rat...

Name

Account Activity

Risk Universe

Transaction Behavior

Risk Subject

Account Activity

Category

Institutional

Risk Type

Existing Product

Partner

Is Default

☐

FCRA Risk Assessment

Recommendation

Internal Notes

Name	Category	Residual Risk Probability	Residual Risk Score
Money Laundering	Money Laundering	11.11	0.11
Terrorism Financing	Terrorism Financing	11.11	0.11

- Implement a Comprehensive Risk Assessment
- Aggregate all Risk Dimensions in to a Single Position
- Run:
  - Institutional Risk Assessment
  - Counter Party
  - Vendor
  - Correspondent
  - Respondent

# Risk Impact Calculator

Open: Risk Assessment Lines

Description

Money Laundering

% Residual Risk Probability

11.11

Residual Risk Score

0.11

Category

Money Laundering

Inherent Risk Score

0

9

9.0

Implication

Significant Regulatory Fine due to ...

Existing Controls

Consumer Information Access Con...

Control Effectiveness Score

0

9

8.0

Residual Risk Impact

0

9

1.0

Planned Mitigation

Implement Stronger Security

Department

Compliance

Implementation Deadline







Immediate

SAVE

DISCARD

- Use the Risk Estimator to define risk score across all Risk Subjects
- Define Controls
- Define Planed Mitigations

# Regulatory Reporting

Regulatory Report / July Super Agents Report    Action  1 / 1  

RUN REPORT

Name ?

July Super Agents Report

Report Template ?

Monthly Super Agents Report

Period Start ?

07/01/2025

Period End ?

07/31/2025

Run Mode ?

Automated

Run Frequency ?

Monthly

Report Runs

Name	Report Filename	Last Updated by	Last Updated on
July Super Agents Report	cbn_super_agents_rendition	SuperAdministrator	07/07/2025 14:27:12
July Super Agents Report	cbn_super_agents_rendition	SuperAdministrator	07/02/2025 16:13:46

- **Real-time insights and reporting capabilities** for transaction monitoring
- **Comprehensive compliance reporting features** including Suspicious Transactions (STR), Currency Transactions (CTR) and Foreign Currency Transaction Report (FTR)
- **Automated Reporting Tools** to generate compliance report for internal and external stakeholders, ensuring all relevant information is captured



## Financial Intelligence Reporting

### - Local & Foreign Currency Transactions

- **FIU Reporting aligns with regulatory reporting units.** eg : NFIU
- **XML Report Generation:** aligns with goAML Schema
- **Comprehensive compliance reporting features** including Suspicious Transactions (STR), Currency Transactions (CTR) and Foreign Currency Transaction Report (FTR)
- **Automated Reporting Tools** to generate compliance report for internal and external stakeholders, ensuring all relevant information is captured
- Automatically Submit Reports

Reporting Entity ID ?	101,917	Period Start ?	04/01/2024
Reporting Entity Branch ?	Head Office	Period End ?	04/30/2024
Submission Code ?	Electronic	Submission Date ?	07/21/2025 00:01:40
Report Code ?	Currency Transaction Report	Reporting Currency ?	Naira
Entity Reference ?	2242512	Reporting Person ?	Joseph Owen
Reference Number ?	242453609	Location ?	23 Olive Street

Monthly report

Conduct risk assessment

Generated XML

Validation

Name ? FIU\_Report\_CTR April 2024\_20250723\_164435.xml

FIU\_Report\_CTR April 2024\_20250723\_164435.xml

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&lt;report&gt;

&lt;entity\_id&gt;101917&lt;/entity\_id&gt;

&lt;entity\_branch&gt;Head Office&lt;/entity\_branch&gt;

&lt;submission\_code&gt;E&lt;/submission\_code&gt;

&lt;report\_code&gt;CTR&lt;/report\_code&gt;

&lt;entity\_reference&gt;2242512&lt;/entity\_reference&gt;

&lt;fiu\_ref\_number&gt;242453609&lt;/fiu\_ref\_number&gt;

&lt;submission\_date&gt;2025-07-21T04:01:40&lt;/submission\_date&gt;

&lt;currency\_code\_local&gt;NGN&lt;/currency\_code\_local&gt;

## Financial Intelligence Reporting

### - Suspicious Transactions

- **XML Report Generation:** aligns with goAML Schema
- **XML Validation** identifies Errors appropriately
- Add **STR Rules**
- Integrated **with Case Management** Solution
- **Approval Workflow** for Submission of STR Reports from Case management
- **Manage False Positive** and Negatives

Reporting Entity ID ? 101,917

Reporting Entity ? Head Office

Submission Code ? Electronic

Report Code ? Suspicious Transaction Report

Entity Reference ? 2242512

FIU Reference Number ? 242453609

Report Type ? Monthly report

Report Purpose ? Conduct risk assessment

Period Start ? 04/01/2024

Period End ? 04/30/2024

Submission Date ? 07/21/2025 00:01:40

Reporting Currency ? Naira

Reporting Person ? Joseph Owen

Location ? 23 Olive Street

Generated XML

Validation

FIU\_Report\_STR April 2024\_20250723\_164955.xml

FIU\_Report\_STR April 2024\_20250723\_164955.xml

```
<?xml version='1.0' encoding='utf-8'?>
<report>
  <report_id>101917</report_id>
  <report_branch>Head Office</report_branch>
  <submission_code>E</submission_code>
  <report_code>STR</report_code>
  <entity_reference>2242512</entity_reference>
  <fiu_ref_number>242453609</fiu_ref_number>
  <submission_date>2025-07-21T04:01:40</submission_date>
  <currency_code_local>NGN</currency_code_local>
  <reporting_person>
```

# Data Export

- Available across all reports
- Select custom fields
- Save Template
- Format in Excel and CSV

Export Data

☐ I want to update data (import-compatible export)

Export Format:

☒ XLSX☐ CSV

Available fields

Search

➤Account Officer

+

➤Accounts

+

Accounts

+

Action Needed

+

Active

+

Active Lang Count

+

➤Activities

+

Activity Exception Decoration

+

Activity State

+

Activity Type Icon

+

Additional info

+

Address Type

+

Anti-Bribery & Corruption Docs

+

Anti-Bribery & Corruption Docs

+

Anti-Money Laundering & Terrorism Financing Doc

+

Anti-Money Laundering & Terrorism Financing Doc

+

Attachment Count

+

Avatar

+

Avatar 1024

+

Avatar 128

+

Avatar 256

+

Avatar 512

+

➤Banks

+

Fields to export

Template:

◆ Branch

🗑

◆ City

🗑

◆ Country

🗑

◆ Customer ID

🗑

◆ Customer Tier

🗑

◆ Email

🗑

◆ Phone

🗑

◆ Registration Date

🗑

◆ Risk Level

🗑

◆ Risk Score

🗑

◆ Translated Display Name

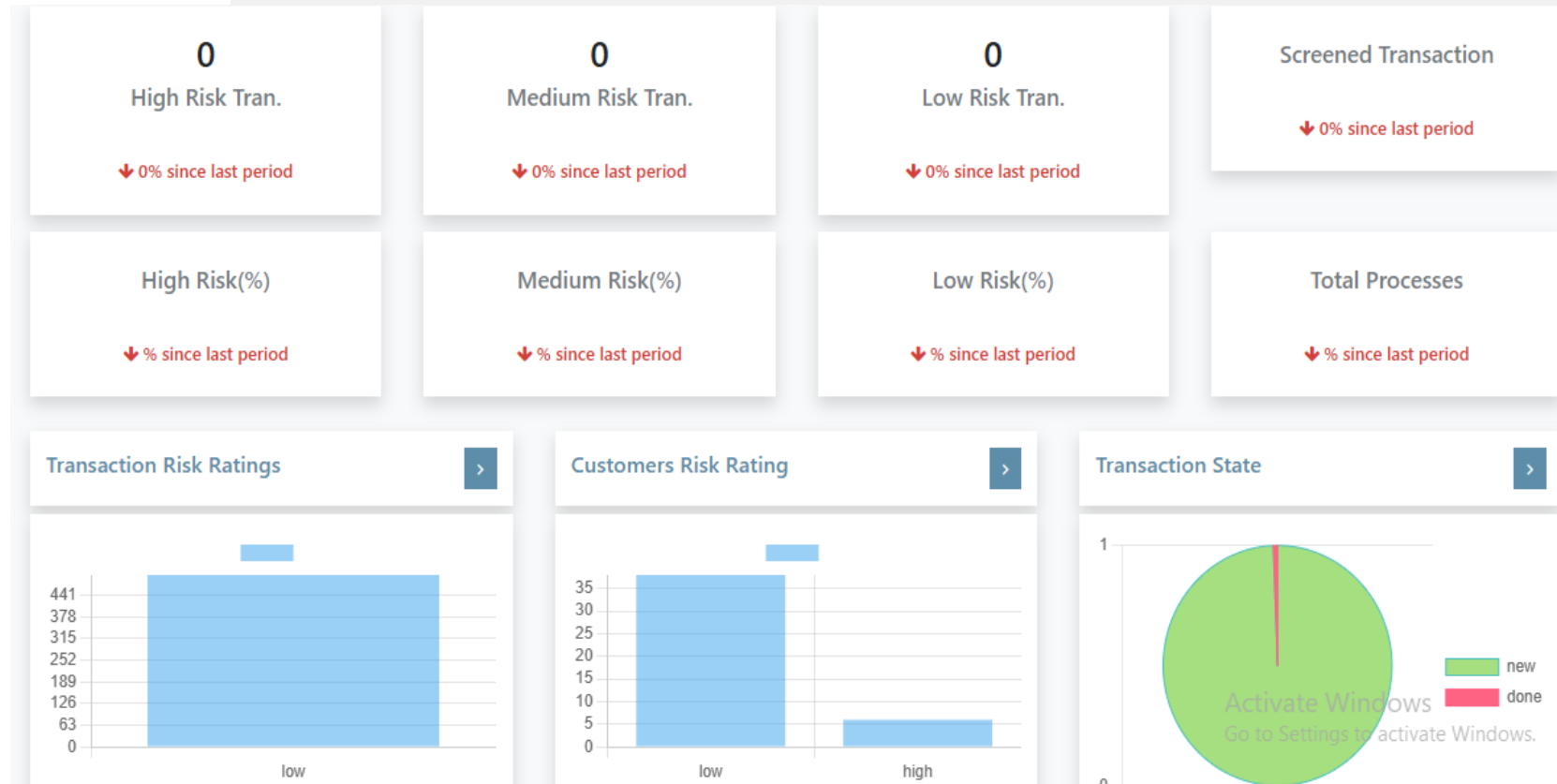
🗑

EXPORT

CLOSE

# Key Performance Indicators

- Available across all reports
- Multi-dimensional filters ( date range etc)
- Dynamically add new indicators
- Download for Management Reporting



# Case Management

- **Enterprise Case Management (ECM)** that automates the creation, assignment and management of Cases
- Includes a risk-based transaction scoring mechanism to prioritize cases based on customer risk level and alert severity
- Integrated to all modules
- Can res-assign cases
- Triggers Alert
- Staff responsible can provide feedback
- Escalate to 1st, 2nd line managers & supervisors

All cases opened must be closed within 48 hours!!!

## Incomplete Customer Onboarding

### BASIC INFORMATION

Severity Rating <sup>?</sup> High

Event Date <sup>?</sup> 07/11/2025 09:23:13

Transaction Reference <sup>?</sup>

### Narration <sup>?</sup>

Incomplete Customer Documentation

### Action (Text) <sup>?</sup>

Please ensure complete compliance and enhanced due diligence

Users and Teams

Documentation

Additional Narration

### RESPONSIBILITY

Staff Responsible <sup>?</sup> Ayo

Department/Unit <sup>?</sup>

Branch <sup>?</sup> Broad Street

### STATUS AND OTHERS

Exception Process Type <sup>?</sup> CUSTOMER ONBOARDING

Exception Process <sup>?</sup> No date on instrument

Customer <sup>?</sup> Abram Ella

### SUPERVISORS

Supervisor One <sup>?</sup> Jonathan

Supervisor Two <sup>?</sup>

Supervisor Three <sup>?</sup>

# Privileged User Access Management

- Protect data from Unauthorized access
- Grant Read, Write, Delete Access to User by Roles
- User Access By Module
- User Access By Branch
- Full user activity tracking and logging
- Read, write, delete audit logs

Users					Search...	
<div>NEW </div>					<div>FiltersGroup ByFavorites</div>	
					1-4 / 4	
<input type="checkbox"/>	Name	Login	Language	Latest authentication	Status	
<input type="checkbox"/>	Goke Salawu	goke	English (US)	01/30/2025 15:31:13	Confirmed	
<input type="checkbox"/>	Shalom Fregene	shalom	English (US)	11/01/2024 13:00:38	Confirmed	
<input type="checkbox"/>	Tech Support	tech-support@novajii.com	English (US)	01/06/2025 15:25:50	Confirmed	
<input type="checkbox"/>	YourCompany, Joel Willis	portal	English (US)		Never Connected	

14Groups

321Access Rights

49Record Rules

Name?Uche

Email Address?uche

Related Partner?Uche

Access Rights

Preferences

Account Security

Hide Specific Menu

Branch Access

Branch Name	Branch Code	Users	
1 Creek Road (Nnewi Bu	0020028	2 records	✕
114 Awolowo Road - Iky	0020020	2 records	✕
115 Trans Amadi	0020121	2 records	✕



# Implementation Timeline

S/N	Stage	Timeline (weeks)
1	Requirements Gathering Technical Assessment	1 week
2	Development, Customization & Integration	8 weeks
3	User acceptance testing (UAT)	2 weeks
4	Training & Go-live	1 weeks
Total		12 weeks

Thank you...Any Questions?

Financial Crime Risk Management  
Regulatory Compliance  
Sanction Screening  
Transaction Monitoring  
Fraud Detection  
Case Management  
Rulebook

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